

THE SYSTEM FOR MONITORING AND EVALUATION OF FOREST POLICY AND STRATEGY IMPLEMENTATION IN KOSOVO 2010-2020

Information document

The concept for developing a Monitoring and Evaluation system

At present, there is no a system or a mechanism for monitoring and evaluation of the Kosovo Forest Policy and Strategy implementation process.

FAO project “Support to implementation of forestry policy and strategy in Kosovo”, will support the MAFRD in establishing and developing of a Monitoring and Evaluation system, which is related to the forestry sector development programme of Kosovo (“Policy and Strategy Paper on Forestry Sector Development 2010-2020”).

The objective of building a detailed monitoring and evaluation system is setting up of the continuous and comprehensive evidence and supervision of strategic programme implementation

The Monitoring and Evaluation system shall be designed to monitor the implementation of activities and to measure the achievements of the ten-year objectives, defined by the Kosovo forestry sector development strategy.

The target is that:

- The Sector Monitoring & Evaluation System ready by the end of 2012 with links to Kosovo Forest Information System (KFIS) and the overall M&E system of MAFRD, and the MEF PIP system.
- Monitoring and Evaluation is done systematically from the beginning of year 2013.
- MAFRD has capacities to operate independently with the M&E system from the beginning of 2014.

Data collection and use of monitoring results will enable the MAFRD and its partners to better plan the short-term, med-term and long-term sector development.

The evaluation results will be used by MAFRD and the participating agencies for internal issues, for reporting to the government, for discussions with stakeholders, for reporting to international organizations (reporting obligations as per protocols and conventions, e.g. to FAO, EU), for compiling forestry statistics, and so on.

In addition, the evaluation results will be used for re-directing the implementation and for reviewing the strategy, proposing the improvement of measures, internal evaluation and external evaluation, joint evaluation (MAFRD and donor community), linkage with the MAFRD general evaluation, annual review of policy and strategy, and mid-term review, which must take place in 2014, at latest.

The Monitoring and Evaluation system, with its own databases, will be a part of Kosovo Forest Information System platform, from where statistical

reports – regarding the strategy implementation and sector performance - can be generated.

Through the Monitoring and Evaluation system, the MAFRD will be able to:

- Maintain the integrity of the 10 year Action Plan and link activities in the sector to the Strategy implementation;
- Consistent planning;
- Continuously monitor the strategy implementation process;
- Continuously monitor financing of the sector and financial gaps;
- Harmonize planning and implementation of project by the donors;
- Monitor performance of the sector;
- Report to the government and to the donors' community.

Structure and content

The System for Monitoring and Evaluation of Kosovo Forest Policy and Strategy Implementation contains the following data collection categories:

MONITORING OF IMPLEMENTATION PROCESS

The monitoring of the implementation process is registered through two tables:

- Implementation status, and
- Sector's financial situation

BUDGET MONITORING

The table with information on projects budgeting for the strategy implementation (projects financed by the Kosovo budget and by the donors).

MONITORING OF SECTOR PERFORMANCE

The monitoring of sector performance will be carried out by measuring the strategy and performance indicators:

Strategy indicators are qualitative indicators that have been defined and agreed with the participating agencies. It is suggested that the Forestry Management Board (FMB) will discuss and adopt the indicators.

Performance indicators are quantity indicators, which show the sector performance against the strategic indicators.

Linkage with national strategies and compliance with international regulations and directives

The structure of the monitoring and evaluation system is in accordance with the guidelines for the development of forestry sector in Kosovo, 2010-2020, the third pillar of the strategy. Other Kosovo's sector strategies will benefit from the data of the M&E system, such as the strategy

for environment development, the strategy for professional educational, etc.

Furthermore, the M&E will be in full compliance with the EU rules and directives for the monitoring and evaluation in the sphere of rural development (Joint monitoring and evaluation framework, Council Regulation (EC) N° 1698/2005).

Development of system and organizational requirements for its functioning

The FAO project "Support to implementation of forestry policy and strategy" and the MAFRD will develop the system for Monitoring and Evaluation of Kosovo forestry strategy implementation.

The existing systems of Monitoring and Evaluation and the necessary changes for inter-institutional networking are considered while building this system.

Currently, the system is in its final designing stage. Through the consultations with the stakeholders and with the participating agencies the tables for data collection have been developed and also the indicators for measuring the strategy

implementation progress have been defined. The final approval of the strategy indicators is needed by the Forest Management Board.

With endorsement of the strategy indicators, the tables for data collection will be distributed to the agency participating on the implementation, and there assigned persons will input the data. FAO project will provide guidelines and training for these persons.

The FAO project staff will coordinate and supervise the data collection until the end of 2013, and summarize them in continuous consultations with the MAFRD.

By early 2014, the MAFRD will have the capacities to run independently the system for monitoring and evaluation of the forestry strategy implementation in Kosovo.

Upon completion of forestry sector M&E system design – by the end of 2012, the data collection will commence, and in the same time, a

Monitoring Committee will be created, their mandate should be focused on following the development of the system and maintenance of its functioning. This monitoring committee, supported by the FAO project, will consist from the representatives of institutions involved in the strategy implementation activities.

The M&E system for forestry sector in Kosovo will be fully available for the MAFRD's Monitoring and Evaluation Division. The operational conditions and system's sustainable maintenance will be created by assigning a MAFRD person to be in charge for the M&E system for forestry sector.

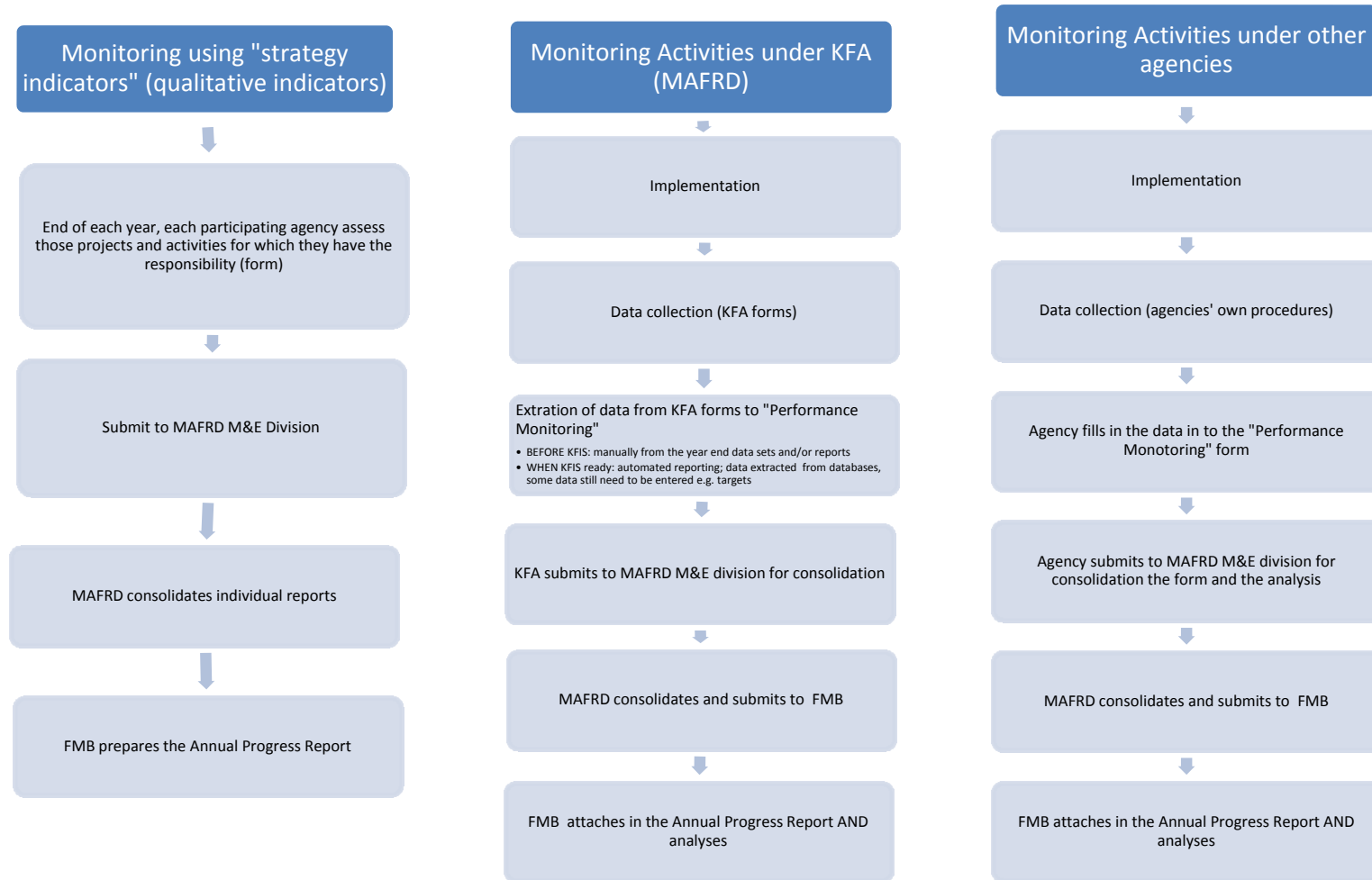
The capacities and human resources for operating and maintaining the M&E system on strategy implementation are of organizational nature, hence, there is no need for extra manpower. FAO project will take care of the system functionality during the first year (2013), and MAFRD will be responsible for it from 2014 and onward.

The task of inputting data will be part of the day-to-day activities of the officials that will be assigned in the relevant institutions. In addition, the person that the MAFRD will assign as responsible for the M&E system for forestry sector will have this task as an everyday activity.

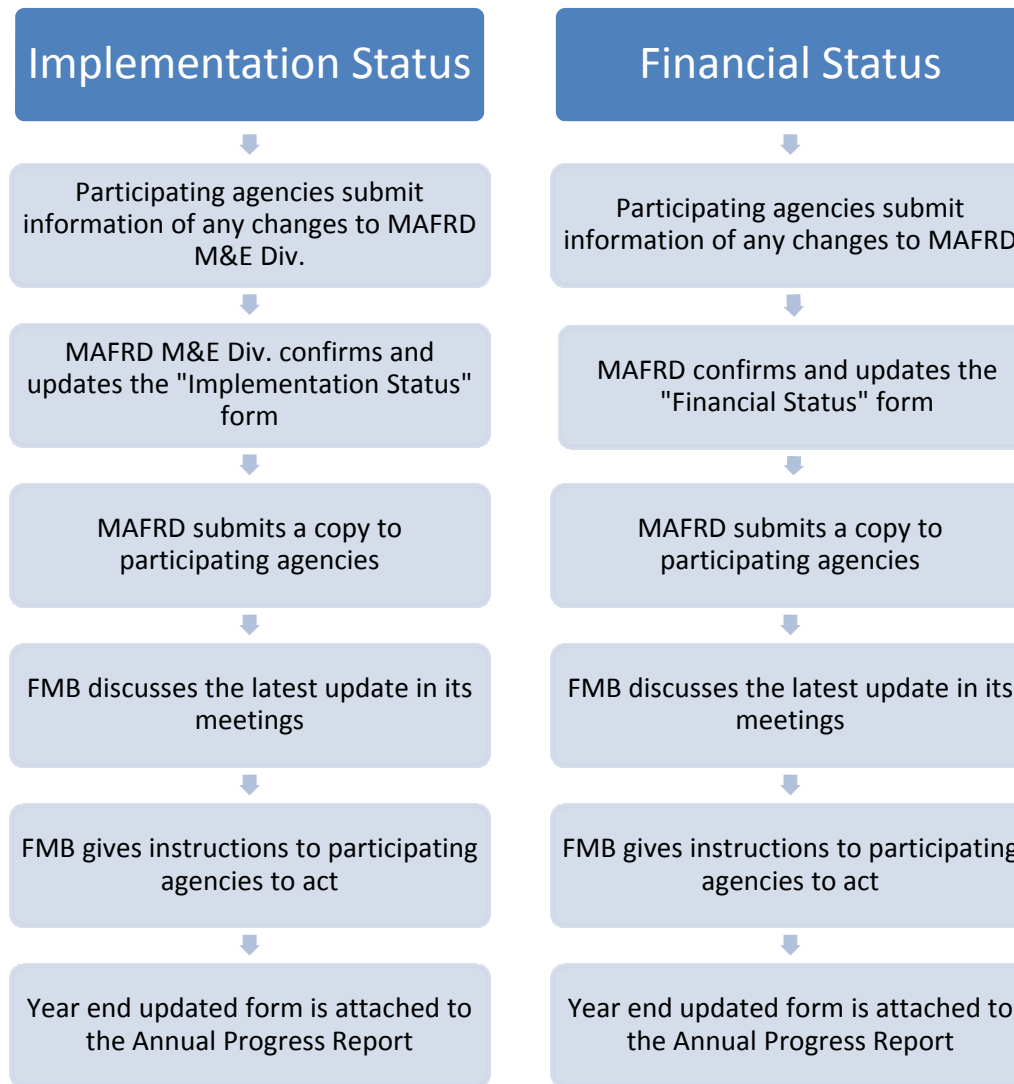
In order to have the design and the structure of the M&E system for the Kosovo forestry strategy implementation as clear as possible, below are shown the data collection and reporting within the schemes system.

Monitoring and Evaluation of Forest Strategy and Policy

The scheme of information flow and reporting to M&E system



Monitoring of implementation and financial status



Excerpts from the tables for data collection on the System for M&E of PSP implementation

Table for monitoring the implementation status

| PIP Code | Pillar | PSP code | Project | Sub-project (activity) | Resp. Institution | Priority | Planned timing | Revised timing | Not started | In preparation | On going | Completed | Deleted | New sub-proj | New project | Terminated |
|----------|--------|----------|---------------------------------|-----------------------------|-------------------|----------|----------------|----------------|-------------|----------------|----------|-----------|---------|--------------|-------------|------------|
| | I | A.1 | Forest Management | Capacity development | KFA | I | 2010-2011 | | | | 1 | | | | | |
| ? | I | A.2 | Forest Management | Plantation management | KFA | I | 2010-2011 | | | | 1 | | | | | |
| | I | A.3 | Forest Management | Monitoring of forest health | KFA | I | 2010-2011 | | | | 1 | | | | | |
| | I | A.4 | Forest Management | Tending of young forest | KFA | I | 2010-2011 | | | | 1 | | | | | |
| | I | D.1 | Capacity Building | Forest vocational education | MEST | I | 2010-2013 | | | | | | | | | |
| | I | D.2 | Capacity Building | Forest vocational training | MLSW | I | 2010-2013 | | | | | | | | | |
| | I | D.3 | Capacity Building | Higher forest education | MEST | I | 2010-2013 | | | | | | | | | |
| | I | D.4 | Capacity Building | Awareness raising | MAFRD | I | 2010-2013 | | | | | | | | | |
| | I | E.1 | Forest environmental protection | Capacity development | MESP | II | 2011-2013 | | | | | | | | | |

Table for monitoring the financial status

| PIP Code | Pillar | PSP code | Project | Sub-project (activity) | Resp. Institution | Priority | ODA Project | Estimated cost | GOK commitment | ODA commitment | Fully financed | In negotiation | Financing gap |
|----------|--------|----------|-------------------------|-------------------------------------|-------------------|----------|--------------|------------------|----------------|------------------|----------------|----------------|------------------|
| 0 | I | A.1 | Forest Management | Capacity development | KFA | I | FAO/FIN | | | | 1 | | - |
| ? | I | A.2 | Forest Management | Plantation management | KFA | I | FAO/FIN | | | | 1 | | - |
| 0 | I | A.3 | Forest Management | Monitoring of forest health | KFA | I | FAO/FIN | | | | 1 | | - |
| 0 | I | A.4 | Forest Management | Tending of young forest | KFA | I | FAO/FIN | | | | 1 | | - |
| 0 | I | D.1 | Capacity Building | Forest vocational education | MEST | I | | 2 000 000 | | | 0 | | 2 000 000 |
| 0 | I | D.2 | Capacity Building | Forest vocational training | MLSW | I | | 2 000 000 | | | 0 | | 2 000 000 |
| 0 | I | D.3 | Capacity Building | Higher forest education | MEST | I | | 2 000 000 | | | 0 | | 2 000 000 |
| 0 | I | D.4 | Capacity Building | Awareness raising | MAFRD | I | | | | | 1 | | - |
| 0 | II | B.1 | Forestry Planning | Forest inventories | KFA | I | Norway | 1 800 000 | 0 | 1 800 000 | 1 | | - |
| ? | II | B.2 | Forestry Planning | Forest management planning | KFA | I | | | | | 1 | | - |
| 0 | II | B.3 | Forestry Planning | Operational planning | KFA | I | | | | | 1 | | - |
| 0 | II | C.1 | Harvesting & Transport | Reduced unlawful harvesting | KFA | I | | | | | 1 | | - |
| 0 | II | C.2 | Harvesting & Transport | Reduced Needs for control | KFA | I | | | | | 1 | | - |
| 0 | II | C.3 | Harvesting & Transport | Improved harvesting practices | KFA | I | | | | | 1 | | - |
| 0 | III | H.1 | Support to Institutions | Implementation of the Action Plan | MAFRD | I | FAO/FIN | 200 000 | | | 0 | | 200 000 |
| 0 | III | H.2 | Support to Institutions | Institutional and technical support | MAFRD | I | FAO/FIN | 200 000 | | | 0 | | 200 000 |
| | | | | | | | TOTAL | 8 200 000 | 0 | 1 800 000 | | | 6 400 000 |

Budget monitoring table

| PIP Kodi | Shtylla e Strategisë | Kodi I Strategisë | Projekti | Nen projektet (aktiviteti) | Institucionet perjegjese | Prioriti | Projekt nga KD | Buxheti | Shpenzuar | Buxheti | Shpenzuar | Buxheti | Shpenzuar | Buxheti | Shpenzuar | Buxheti | Shpenzuar | Buxheti | Shpenzuar | |
|-------------|-------------------------|----------------------|--------------------------------|---------------------------------------|-----------------------------|----------|------------------|---------|-----------|---------|-----------|-----------|-----------|---------|-----------|---------|-----------|-----------|-----------|---------|
| | | | | | | | | 2010 | 2010 | 2011 | 2011 | 2012 | 2012 | 2013 | 2013 | 2014 | 2014 | 2015 | | |
| 0 | I | A.1 | Menaxhimi i pyjeve | Zhvillimi I kapaciteve | APK | I | FAO/FIN/KPEP/SNV | 22,963 | 22,963 | 28,389 | 28,339 | 20,891 | | | | 2,000 | | | | |
| ? | I | A.2 | Menaxhimi i pyjeve | Menaxhimi i plantacionit | APK | I | FAO/FIN | | | | | | | | | | | | | |
| 0 | I | A.3 | Menaxhimi i pyjeve | Monitorimi I shëndetit te pyjeve | APK | I | FAO/FIN | | | | | | | | | | | | | |
| 0 | I | A.4 | Menaxhimi i pyjeve | Kujdesi për pyje/malet e reja | APK | I | FAO/FIN/SNV | 2,500 | 2,500 | 2,000 | 2,000 | 4,000 | | | | 1,500 | | | | |
| 0 | I | D.1 | Ngritja e kapaciteve | Arsimimi profesional për pylltari | MASHT | I | | | | | | | | | | | | | | |
| 0 | I | D.2 | Ngritja e kapaciteve | Trajnimet profesional për pylltari | MPMS | I | Lux/Devel | | | | | | 3,000 | | | | | | | |
| 0 | I | D.3 | Ngritja e kapaciteve | Arsimimi i larte për pylltari | MASHT | I | SNV | 25,000 | 25,000 | 25,000 | 25,000 | 15,000 | | | | | | | | |
| 0 | I | D.4 | Ngritja e kapaciteve | Ngritja e vetëdijes | MBPZHR | I | KPEP/SNV/MAFRD | 6,200 | 6,200 | 48,000 | 48,113 | 5,000 | | | 3,000 | | | | | |
| 0 | I | E.1 | Mbrojtja e mjediseve pyjore | Zhvillimi i kapaciteve | MMPH | II | SNV | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | | | | | | | | |
| 0 | I | E.2 | Mbrojtja e mjediseve pyjore | Plani i Veprimit për Biodiversitet | MMPH | II | | | | | | 1,417,000 | | | 1,560,000 | | | 1,402,000 | | 965,000 |
| 0 | I | E.3 | Mbrojtja e mjediseve pyjore | Vendosja e zonave te mbrojtura | MMPH | II | | | | | | | | | | | | | | |
| 0 | I | G.1 | Prodhimet pyjore jodrusore | Hartimi I legjislacionit | MBPZHR | II | SNV/GIZ | 3,000 | 3,000 | 1,500 | 1,500 | 1,500 | | | | | | | | |
| 0 | I | G.2 | Prodhimet pyjore jodrusore | Prodhimet pyjore jodrusore | MBPZHR | II | | | | | | | | | | | | | | |
| 0 | I | F.1 | Zhvillimi i sektorit privat | Privatizimi I NSH | AKP | II | | | | | | | | | | | | | | |
| 0 | I | F.2 | Zhvillimi i sektorit privat | Mbështetje shoqatave te pronarëve | MBPZHR | II | SNV | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | | | | 12,000 | | | | |
| 0 | I | F.3 | Zhvillimi i sektorit privat | Trajnim ne ndërmarrësi | MBPZHR | II | | | | | | | | | | | | | | |
| 0 | I | F.4 | Zhvillimi i sektorit privat | Perpunimi I drurit | MTI | II | | 0 | 0 | 0 | | 0 | | | | | | | | |
| 0 | I | F.5 | Zhvillimi i sektorit privat | Prodhimtaria e bio energjise | MEZH | II | | | | | | 50,000 | | | | | | | | |
| 0 | I | F.6 | Zhvillimi i sektorit privat | Konsolidimi I tokes | MBPZHR | II | | 0 | 0 | 0 | 0 | 0 | | | | | | | | |
| 0 | II | B.1 | Planifikimi pyjor | Invetarizimi I pyjeve | APK | I | NFG | 423,000 | 423,000 | 325,000 | 325,000 | 325,000 | | | | | | | | |
| 10592 | II | B.2 | Planifikimi pyjor | Planifikimi i menaxhimit te pyjeve | APK | I | MAFRD/SNV | 254,008 | 254,008 | 239,526 | 239,526 | 207,000 | | | 355,000 | | | 400,000 | | |
| 0 | II | B.3 | Planifikimi pyjor | Planifikimi opercional | APK | I | | 2,000 | 2,000 | 2,000 | 2,000 | 1,000 | | | | | | | | |
| 0 | II | C.1 | Shfrytëzimi/prejja& Transporti | Zvoglimi I prerjeve te palgjshme | APK | I | KPEP | 43,923 | 43,923 | 43,165 | 43,165 | | | | | | | | | |
| 0 | II | C.2 | Shfrytëzimi/prejja& Transporti | Zvoglimi I nevojës për kontrolle | APK | I | | | | | | | | | | | | | | |
| 0 | II | C.3 | Shfrytëzimi/prejja& Transporti | Permiresim I praktikave te prerjeve | APK | I | | | | | | | | | | | | | | |
| 0 | III | H.1 | Mbështetje Institucioneve | Zbatimi I Planit te Veprimit | MBPZHR | I | FAO/FIN | | | 50,000 | 45,000 | | | | | | | | | |
| 0 | III | H.2 | Mbështetje Institucioneve | Mbështetje Institucionale dhe teknike | MBPZHR | I | FAO/FIN/SNV/KPEP | 14,064 | 14,064 | 23,441 | 33,241 | 7,000 | | | 5,000 | | | | | |
| | | | | | | | TOTAL | 826658 | 826658 | 818021 | 822884 | 2086391 | 0 | 1938500 | 0 | 1802000 | 0 | 965000 | | |
| | | | | | | | CUMULATIVE | | 826658 | | 1649542 | | 1649542 | | 1649542 | | 1649542 | | 1649542 | |

Table with Strategy indicators

| Pillar | PSP code | Project | Sub-project (activity) | Resp. Institution | Priority | Programme Results | Strategy Indicators |
|--------|----------|---------------------------------|-----------------------------|-------------------|----------|---|---|
| I | A.1 | Forest Management | Capacity development | KFA | I | Improved competence in managing of forest has created more productive and valuable forests | Research programme and facilities |
| I | A.2 | Forest Management | Plantation management | KFA | I | Increased wood production through plantation of bare land, or currently under-utilized forestlands | National programme for forestation |
| I | A.3 | Forest Management | Monitoring of forest health | KFA | I | Reduced risks for comprehensive outbreaks of pest and diseases | System for monitoring of forest health |
| I | A.4 | Forest Management | Tending of young forest | KFA | I | Increased portion of high value forest | National programme for management of young forests |
| I | D.1 | Capacity Building | Forest vocational education | MEST | I | One Forest Vocational Education School is in function | Training facility, teachers and curricula |
| I | D.2 | Capacity Building | Forest vocational training | MLSW | I | A Training Center, organized to operate all over Kosovo, is increasing the skills of forest workers | Training facility, teachers and curricula |
| I | D.3 | Capacity Building | Higher forest education | MEST | I | Education organized for students to either attain training in Kosovo or at foreign forest faculties | Scholarships, Scholarship programme |
| I | D.4 | Capacity Building | Awareness raising | MAFRD | I | The public is aware of the role of forestry as an important element of the society and as a provider of a variety of benefits | Awareness building strategy and implementation programme |
| I | E.1 | Forest environmental protection | Capacity development | MESP | II | Improved capacity to deal with environmental issues related to forestry, consensus regarding needs for protection/land use | Forest Management Plans containing environmental, particularly biodiversity protection objectives, and non-wood forest product objectives. Non-wood product Value Chain analysis. |
| I | E.2 | Forest environmental | Biodiversity Action Plans | MESP | II | Kosovo Institutions have capacity to implement and monitor Biodiversity Action | Biodiversity Action Plan prepared and implemented. Monitoring Reports prepared. |

| | | protection | | | | Plans | |
|----|-----|---------------------------------|---------------------------------------|-------|----|---|--|
| I | E.3 | Forest environmental protection | Establishment of protected zones | MESP | II | Protected zones are established and managed in compliance with national goals and international agreements | Protect zone master plan (protected areas, protection zones in FMPs, watershed management areas) |
| I | G.1 | Forest non wood products | Drafting of legislation | MAFRD | II | A law has been drafted regulation the collection and processing of medical and aromatic plants | Draft law, gazetted law |
| I | G.2 | Forest non wood products | Forest non-wood products | MAFRD | II | The potential for non-wood products, including eco-tourism, is analyzed and provide a basis for further development | NTFP study with recommended actions (Value Chain Analysis I.E.1) |
| I | F.1 | Private sector development | Privatization of SOEs | PAK | II | The old SOE structure is replaced with new structures with the capability to accommodate to open market conditions | Privatization process agreed |
| I | F.2 | Private sector development | Support to Forest Owners Associations | MAFRD | II | Private forest owners are represented in policymaking, productivities and incomes have improved | Empowering Forest Owners' Associations (long term development plan including financing system, representation) |
| I | F.3 | Private sector development | Training in entrepreneurship | MAFRD | II | Entrepreneurs are capable of conducting more efficient and environmentally friendly operations | Training programme and impact evaluation |
| I | F.4 | Private sector development | Wood processing | MTI | II | Industries for processing small-sized logs are established, and providing employment opportunities in rural areas | Feasibility study (including demand/supply, licensing/control, financial) |
| I | F.5 | Private sector development | Bio energy production | MED | II | Wood bio mass for heating purposes are produced and utilized in a more efficient manner | Feasibility study on wood bio mass (including communal wood waste). Forest carbon sequestration estimate. |
| I | F.6 | Private sector development | Land consolidation | MAFRD | II | Larger private holdings, and with a configuration that enhance the value and facilitate the management created | Analysis of legislative framework (gap analysis) and viability of private forest holdings |
| II | B.1 | Forestry Planning | Forest inventories | KFA | I | Strategic/policy decisions are based on accurate information | Forest inventory results available and used in strategy/policy review |

| | | | | | | | |
|-----|-----|-------------------------|-------------------------------------|-------|---|--|---|
| II | B.2 | Forestry Planning | Forest management planning | KFA | I | Selection and treatment of forest stands is based on relevant information | Common (unified) state/private FMP methodology available and implemented |
| II | B.3 | Forestry Planning | Operational planning | KFA | I | Improved planning has reduced forest damages and contributed to a more stable and transparent wood market | Forest management plans available and used in operational planning |
| II | C.1 | Harvesting & Transport | Reduced unlawful harvesting | KFA | I | The extent of unlawful harvest has decreased, and incomes to the state fiscal budget increased | Illegal activities monitored and controlled through collaboration between MAFRD, municipalities, police, customs, and Forest Owners Association |
| II | C.2 | Harvesting & Transport | Reduced Needs for control | KFA | I | Agreements with Forest Owners Associations has resulted in decreased work for monitoring harvesting & transport | Forest activities monitored through collaboration between MAFRD, municipalities, and Forest Owners Associations |
| II | C.3 | Harvesting & Transport | Improved harvesting practices | KFA | I | Improved methods combined with proper planning has resulted in reduced damages on forest and forestlands | Implementation of operational plans monitored and reported (forest monitoring system) |
| III | H.1 | Support to Institutions | Implementation of the Action Plan | MAFRD | I | The capacity of the Government to implement and monitor policies and strategies have improved | Action Plan management is clearly under MAFRD (planning, implementation, monitoring and control) |
| III | H.2 | Support to Institutions | Institutional and technical support | MAFRD | I | The capability of institutions and organizations to solve technical and institutional shortcomings have improved | Forest Management Board provides support to participating institutions and organisations |

FOREST INFORMATION DATA FLOW

(The flow-chart shows particularly the collection, flow and storage of PSP related data for which KFA is responsible)

A: Data flow in KFIS

